

Financial Results for the Nine Months ended September 30, 2013

November 1, 2013

AGC ASAHI GLASS CO., LTD.

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Financial Results for the Nine Months ended September 30, 2013

1. Highlights of the Financial Results

Summary of the Financial Results

億円(100million yen)

		3Q			
		FY2012	FY2013	増減 Change	% 増減 Change %
売上高	Net sales	2,942	3,334	+392	+13.3%
営業利益	Operating income	259	189	-70	-27.0%
経常利益	Ordinary income	240	154	-86	-35.9%
当期純利益	Net income	138	-13	-151	-

1-3Q累計 (1-3Q Total)			
FY2012	FY2013	増減 Change	% 増減 Change %
8,775	9,719	+944	+10.8%
739	530	-209	-28.3%
716	482	-234	-32.7%
449	157	-291	-64.9%

売上高営業利益率	Operating profit margin	8.8%	5.7%
ROE*	Return on equity	6.6%	-

8.4%	5.5%
7.3%	2.1%

為替レート(期中平均) FOREX Average	JPY/USD	78.62	98.95
	JPY/EUR	98.36	131.05
原油 Crude Oil	\$/BBL (Dubai)	106.3	106.3

79.37	96.71
101.75	127.35
109.6	105.1

* Return on equity = Net income / (Shareholders' equity (average) + Accumulated other comprehensive income(average))

Highlights of the Financial Results

【For the nine months ended September 30, 2013】

Net Sales 971.9 billion yen (up 94.4 billion yen YoY)

Operating Income 53.0 billion yen (down 20.9 billion yen YoY)

The Group's sales increased thanks to the impacts of changes in exchange rates and the scope of consolidation, in addition to increased shipments of electronics-related products.

The Group's profits fell, affected by the price decline mainly in the architectural glass business in Europe.

Rising energy prices gave pressure on the Group's profit and loss.

Consolidated Statements of Income

億円(100million yen)

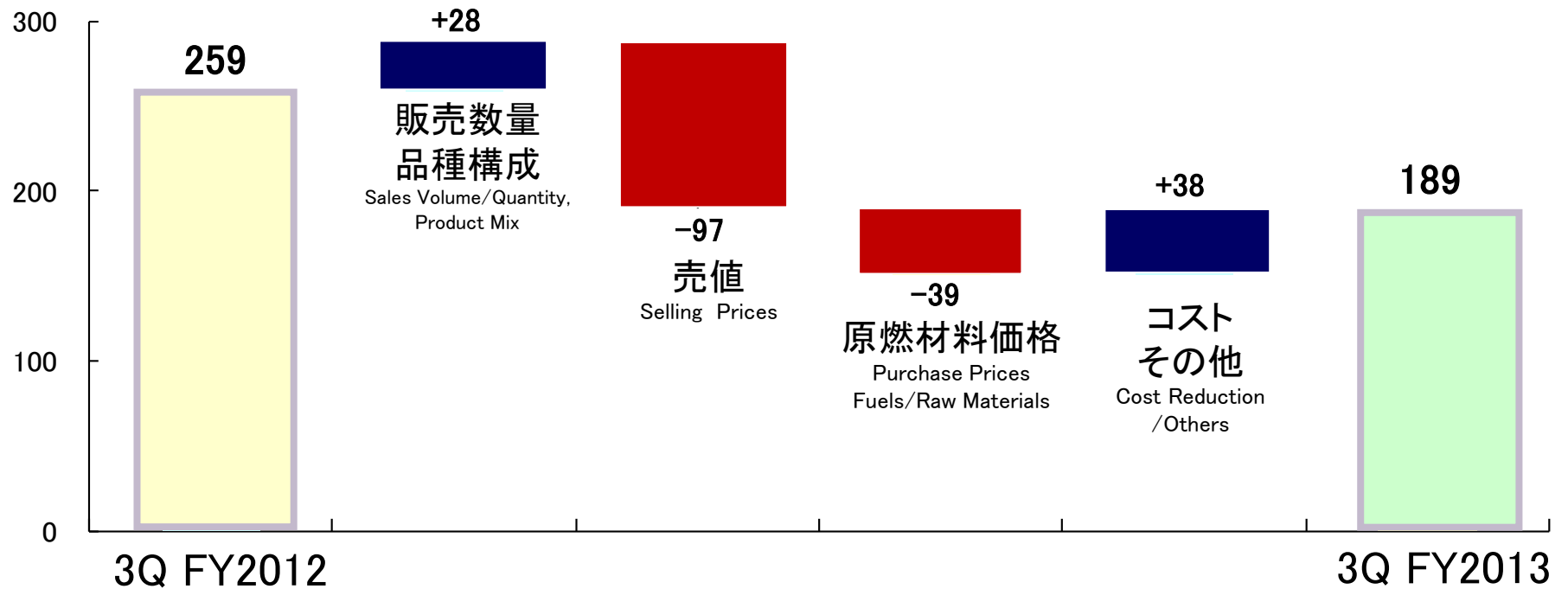
	3Q			1-3Q累計 (1-3Q Total)		
	FY2012	FY2013	増減 Change	FY2012	FY2013	増減 Change
売上高 Net sales	2,942	3,334	+392* (+13.3%)	8,775	9,719	+944* (+10.8%)
営業利益 Operating income	259	189	-70* (-27.0%)	739	530	-209* (-28.3%)
営業外損益 Non-Operating income (losses)	-19	-35		-23	-48	
金融収支 Interest & Dividend	-11	-10		-18	-14	
持分法損益 Equity in earnings of unconsolidated companies	2	-0		11	5	
その他 Others	-10	-25		-16	-39	
経常利益 Ordinary income	240	154	-86 (-35.9%)	716	482	-234 (-32.7%)
特別損益 Extraordinary gains (losses)	-37	-113		-49	-165	
税引前利益 Income before tax	203	41	-162 (-79.7%)	667	317	-350 (-52.5%)
法人税等 Income taxes	58	50		188	142	
少数株主損益 Minority interest in earnings (losses) of consolidated subsidiaries	8	4		30	18	
当期純利益 Net income	138	-13	-151 (-)	449	157	-291 (-64.9%)

* Foreign exchange fluctuation resulted in +39.6 billion yen in Net sales and -0.2 billion yen in Operating income in 3Q,
and +100.2 billion yen in Net sales and -0.4 billion yen in Operating income in 1-3Q total.

Variance Analysis on Operating Income (3Q FY2013 vs. 3Q FY2012)

億円 (100million yen)

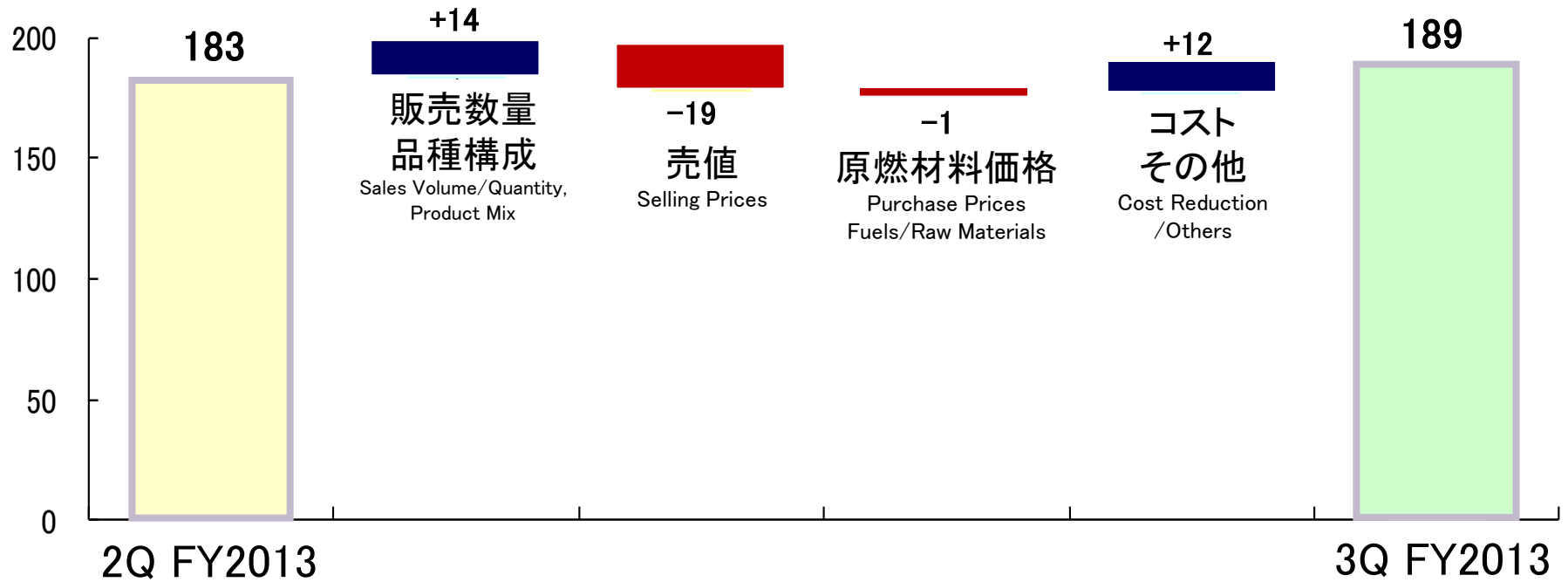
前年同期比 70億円減益
¥ 7.0 bn down from the same period last year



Variance Analysis on Operating Income (3Q FY2013 vs. 2Q FY2013)

億円 (100million yen)

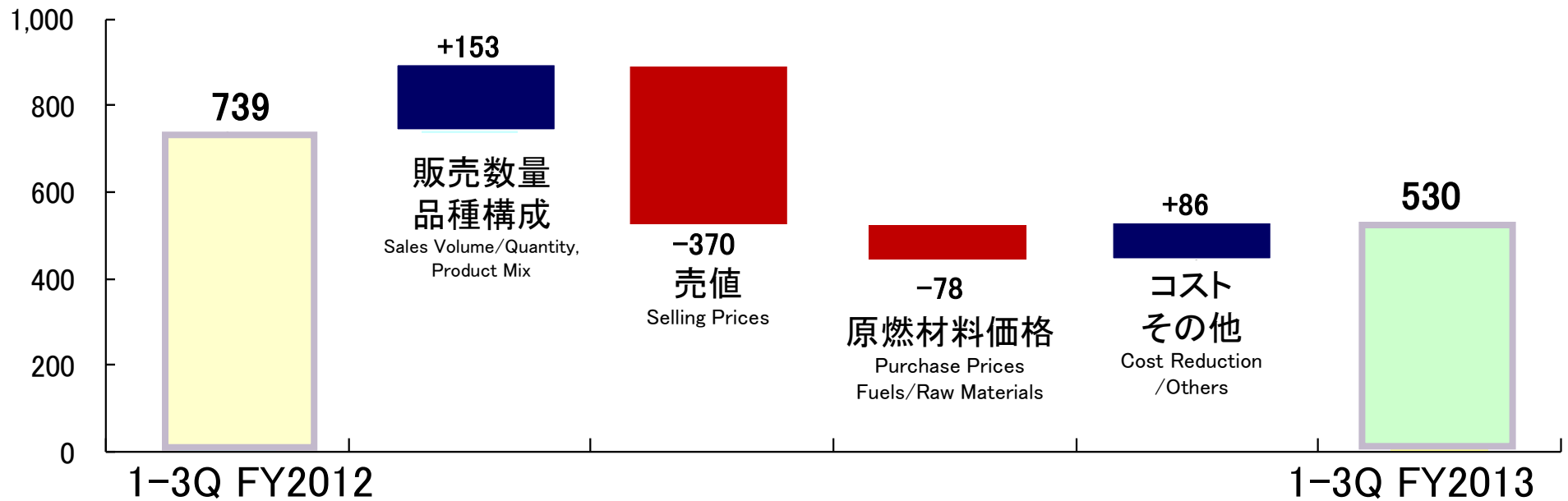
前四半期比 6億円増益
¥ 0.6 bn up from last quarter



Variance Analysis on Operating Income (1-3Q FY2013 vs. 1-3Q FY2012)

億円 (100million yen)

前年同期比 209億円減益
¥ 20.9 bn down from the same period last year



Consolidated Balance Sheets

億円(100million yen)

		2012/12	2013/9	増減
		Dec. 31, 2012	Sep. 30, 2013	Change
資産の部	Assets	18,994	20,431	+1,437
流動資産	Current assets	6,512	6,726	+213
現金及び預金	Cash on hand & in banks	1,005	1,236	+231
受取手形及び 売掛金	Trade notes & accounts receivable	2,496	2,540	+44
棚卸資産	Inventories	2,083	2,332	+249
その他	Other current assets	929	618	-311
固定資産	Fixed assets	12,481	13,706	+1,224
有形・無形 固定資産	Tangible-Intangible fixed assets	10,096	10,825	+730
投資有価証券	Investments in securities	1,959	2,488	+529
その他	Other fixed assets	427	392	-34

資産合計	Total Assets	18,994	20,431	+1,437*
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		2012/12	2013/9	増減
		Dec. 31, 2012	Sep. 30, 2013	Change
負債の部	Liabilities	9,024	9,514	+490
仕入債務	Payables	1,189	1,216	+27
借入金 (含むCP、社債)	Loans (include CP & Bonds)	5,330	5,701	+371
その他	Other liabilities	2,505	2,597	+92
純資産の部	Net assets	9,969	10,917	+948
株主資本	Shareholders' equity	9,840	9,699	-141
資本金	Common stock	909	909	-
資本剰余金	Additional paid-in capital	970	970	-
利益剰余金	Retained earnings	8,263	8,119	-143
自己株式	Treasury stock	-301	-299	+2
その他の包括利益 累計額	Accumulated other comprehensive income	-422	649	+1,070
新株予約権	Share subscription rights	19	20	+1
少数株主持分	Minority Interests in Consolidated Subsidiaries	532	550	+17

負債・純資産合計	Total Liabilities & Net Assets	18,994	20,431	+1,437*
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Consolidated Statements of Cash Flows

億円(100million yen)

	3Q		1-3Q累計 (1-3Q Total)	
	FY2012	FY2013	FY2012	FY2013
営業活動によるキャッシュ・フロー (a)				
Cash flows from operating activities (a)	330	290	1,251	1,167
税金等調整前純利益	203	41	667	317
Income before income taxes & minority interests				
減価償却費・のれん償却・固定資産減損	299	340	887	1,024
Depreciation/Amortization/Impairment				
運転資金増減	-36	-51	-101	-56
Increase (decrease) in working capital				
その他	-136	-41	-202	-118
Others				
投資活動によるキャッシュ・フロー (b)				
Cash flows from investing activities (b)	-572	-366	-1,157	-1,142
固定資産取得	-424	-374	-1,124	-1,148
Purchase of property, plant & equipment				
その他	-148	8	-33	7
Others				
フリー・キャッシュ・フロー (a)+(b)				
Free cash flow (a)+(b)	-243	-76	95	25
財務活動によるキャッシュ・フロー				
Cash flows from financing activities	-379	-91	120	-147
借入金増減	-225	70	438	183
Increase (decrease) in bank loans, bonds & CP				
支払配当	-150	-150	-301	-300
Dividends paid				
その他	-4	-10	-17	-29
Others				
現金等に係る換算差額				
Effect of exchange rate changes on cash & cash equivalents	-6	-12	10	100
現金等の増加額				
Changes in cash & cash equivalents	-628	-178	225	-22

CAPEX, Depreciation & Others

億円(100million yen)

		3Q	
		FY2012	FY2013
設備投資額	CAPEX	375	354
減価償却費	Depreciation	292	335
研究開発費	R&D	124	123

1-3Q累計 (1-3Q Total)	
FY2012	FY2013
1,077	1,091
861	1,009
362	357

		2012/12 Dec. 31, 2012	2013/9 Sep. 30, 2013
有利子負債残高*	Interest-bearing debts*	5,408	5,765
D/E比率	D/E ratio	0.54	0.53

* Interest-bearing debts include lease obligations. (7.9 billion yen as of Dec. 31, 2012, 6.4 billion yen as of Sep. 30, 2013)

2. Information by Business and Geographic Segments

Glass Segment (1)

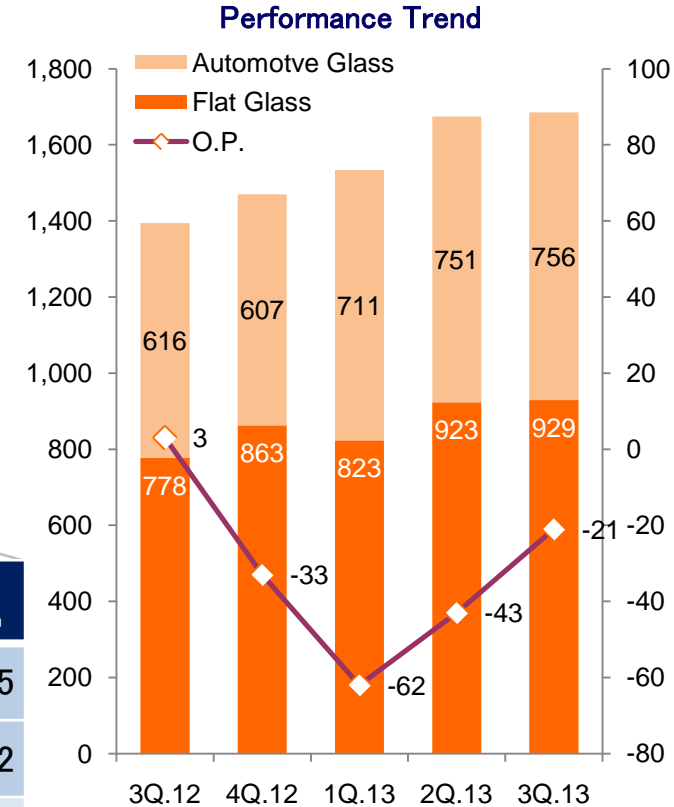
億円 (100million yen)

	売上高 Sales		営業利益 Operating income	
	FY2012	FY2013	FY2012	FY2013
3Q	1,394	1,685 (+290)	3	-21 (-24)
1-3Q累計 1-3Q Total	4,176	4,892 (+716)	-7	-126 (-120)

* ()内数字は前年同期比

() shows change from FY2012.

売上高の内訳 Breakdown of Sales		小計 Sub total	日本・アジア Japan & Asia	アメリカ Americas	ヨーロッパ Europe	消去 Elimination
ガラス Glass	3Q	1,685	803	227	700	-45
	1-3Q累計 1-3Q Total	4,892	2,363	666	2,006	-142
板ガラス (建築用、ソーラー) Flat Glass : Building & Solar	3Q	929	387	96	478	-32
	1-3Q累計 1-3Q Total	2,675	1,155	279	1,350	-109
自動車用ガラス Automotive Glass	3Q	756	416	131	222	-14
	1-3Q累計 1-3Q Total	2,217	1,208	387	656	-33



Glass Segment (2)

【YoY comparison for the first nine months】

[Architectural Glass]

- Japan/Asia : Shipments remained strong.
- Americas : Shipments were on a moderate recovery track.
- Europe : Shipments were sluggish and, despite gradual price improvement since Q2, price levels fell below the same period last year.

[Automotive Glass]

- In Japan, the Group's shipments decreased, reflecting a drop in auto production in the country.
- In Europe, shipments were on the same level as the previous year despite a decrease in auto production in the region.
- In Asia and North America, shipments remained favorable.

[Glass for Solar Power Systems]

- Shipments decreased as the tough competitive environment continued.

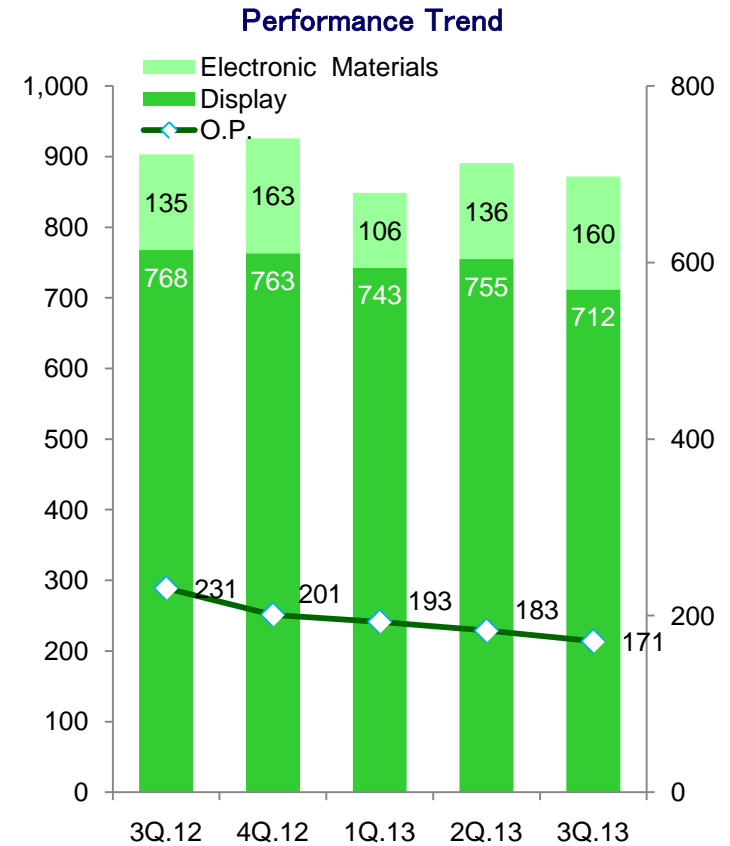
Electronics Segment (1)

	売上高 Sales		営業利益 Operating income	
	FY2012	FY2013	FY2012	FY2013
3Q	904	872 (-32)	231	171 (-60)
1-3Q累計 1-3Q Total	2,515	2,612 (+97)	612	547 (-65)

* ()内数字は前年同期比
() shows change from FY2012.

売上高の内訳 Breakdown of Sales		
ディスプレイ Display	3Q	712
	1-3Q累計 1-3Q Total	2,210
電子部材 Electronic Materials	3Q	160
	1-3Q累計 1-3Q Total	402

億円 (100million yen)



Electronics Segment (2)

【 YoY comparison for the first nine months】

[Display]

- Shipments of TFT-LCD glass substrates and specialty glass for display applications increased.

[Electronic Materials]

- Shipments of semiconductor-related products and optoelectronics materials increased.

Chemicals Segment (1)

	売上高 Sales		営業利益 Operating income	
	FY2012	FY2013	FY2012	FY2013
3Q	636	724 (+88)	26	35 (+9)
1-3Q累計 1-3Q Total	1,916	2,107 (+191)	126	107 (-19)

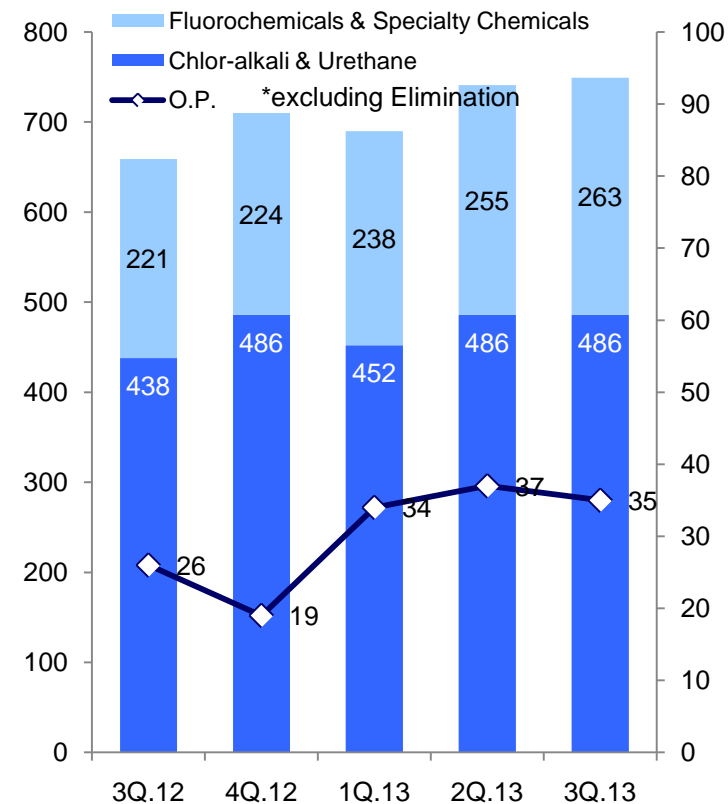
* ()内数字は前年同期比

() shows change from FY2012.

売上高の内訳 Breakdown of Sales		
クロールアルカリ・ウレタン Chlor-alkali & Urethane	3Q	486
	1-3Q累計 1-3Q Total	1,424
フッ素化学・スペシャリティ Fluorochemicals & Specialty Chemicals	3Q	263
	1-3Q累計 1-3Q Total	757
消去 Elimination	3Q	-25
	1-3Q累計 1-3Q Total	-74

億円 (100million yen)

Performance Trend



Chemicals Segment (2)

【 YoY comparison for the first nine months】

[Chlor-alkali & Urethane]

- Asia continued to show strong performance.

[Fluorochemicals & Specialty Chemicals]

- Shipments of pharmaceutical and agrochemical intermediates and active ingredients and fluorinated resin remained buoyant.
- Shipments of certain products in this category decreased due to such effect as economic slowdown in Europe.

YoY Performance Comparison by Business Segment

億円(100million yen)

		3Q		
		FY2012	FY2013	増減 Change
売上高	Sales			
ガラス	Glass	1,394	1,685	+290
電子	Electronics	904	872	-32
化学品	Chemicals	636	724	+88
セラミックス・その他	Ceramics/Other	171	193	+22
消去	Elimination	-162	-139	+23
全社計	Consolidated total	2,942	3,334	+392

1-3Q累計 (1-3Q Total)		
FY2012	FY2013	増減 Change
4,176	4,892	+716
2,515	2,612	+97
1,916	2,107	+191
651	570	-81
-483	-462	+21
8,775	9,719	+944

		FY2012	FY2013	増減 Change
営業利益	Operating income			
ガラス	Glass	3	-21	-24
電子	Electronics	231	171	-60
化学品	Chemicals	26	35	+9
セラミックス・その他	Ceramics/Other	-0	4	+4
消去	Elimination	-1	0	+1
全社計	Consolidated total	259	189	-70

FY2012	FY2013	増減 Change
-7	-126	-120
612	547	-65
126	107	-19
9	6	-3
-1	-3	-2
739	530	-209

* The category "Other," used until the previous year, was renamed "Ceramics / Other" in 2013.

Quarterly Performance Comparison by Business Segment

億円 (100million yen)

売上高	Sales	2Q FY2013	3Q FY2013	増減 Change	% 増減 Change %
ガラス	Glass	1,674	1,685	+11	+0.6%
電子	Electronics	891	872	-19	-2.2%
化学品	Chemicals	716	724	+8	+1.2%
セラミックス・その他	Ceramics/Other	224	193	-30	-13.5%
消去	Elimination	-184	-139	+44	-
全社計	Consolidated total	3,321	3,334	+14	+0.4%

営業利益	Operating income	2Q FY2013	3Q FY2013	増減 Change	% 増減 Change %
ガラス	Glass	-43	-21	+22	-
電子	Electronics	183	171	-12	-6.7%
化学品	Chemicals	37	35	-2	-5.8%
セラミックス・その他	Ceramics/Other	4	4	-1	-12.8%
消去	Elimination	2	0	-1	-
全社計	Consolidated total	183	189	+6	+3.3%

* The category "Other," used until the previous year, was renamed "Ceramics / Other" in 2013.

YoY Performance Comparison by Geographic Segment

億円(100million yen)

		3Q		
売上高	Sales	FY2012	FY2013	増減 Change
日本・アジア	Japan & Asia	2,242	2,407	+165
アメリカ	Americas	210	290	+80
ヨーロッパ	Europe	573	738	+165
消去	Elimination	-82	-100	-18
全社計	Consolidated total	2,942	3,334	+392

1-3Q累計 (1-3Q Total)		
FY2012	FY2013	増減 Change
6,715	7,058	+343
638	837	+199
1,679	2,135	+456
-257	-311	-54
8,775	9,719	+944

		FY2012	FY2013	増減 Change
営業利益	Operating income			
日本・アジア	Japan & Asia	356	322	-35
アメリカ	Americas	-8	-19	-10
ヨーロッパ	Europe	-9	-25	-16
消去	Elimination	1	1	-0
地域共通費用	Cross-regional common expenses	-81	-90	-9
全社計	Consolidated total	259	189	-70

FY2012	FY2013	増減 Change
1,025	938	-87
-28	-54	-26
-31	-109	-79
-0	-1	-0
-227	-244	-17
739	530	-209

Quarterly Performance Comparison by Geographic Segment

億円(100million yen)

売上高	Sales	2Q FY2013	3Q FY2013	増減 Change	% 増減 Change %
日本・アジア	Japan & Asia	2,401	2,407	+5	+0.2%
アメリカ	Americas	285	290	+5	+1.9%
ヨーロッパ	Europe	743	738	-5	-0.7%
消去	Elimination	-109	-100	+9	-
全社計	Consolidated total	3,321	3,334	+14	+0.4%

営業利益	Operating income	2Q FY2013	3Q FY2013	増減 Change	% 増減 Change %
日本・アジア	Japan & Asia	311	322	+11	+3.4%
アメリカ	Americas	-19	-19	+1	-
ヨーロッパ	Europe	-35	-25	+10	-
消去	Elimination	1	1	-1	-
地域共通費用	Cross-regional common expenses	-76	-90	-15	-
全社計	Consolidated total	183	189	+6	+3.3%

The Impact of FOREX and Change in the Scope of Consolidation (Compared with 1-3Q FY2012)

億円(100million yen)

区分 Segment	全社計* Consolidated total		ガラス Glass		電子 Electronics		化学品 Chemicals	
	売上高	営業利益	売上高	営業利益	売上高	営業利益	売上高	営業利益
	Sales	Operating income	Sales	Operating income	Sales	Operating income	Sales	Operating income
1-3Q FY2013	9,719	530	4,892	-126	2,612	547	2,107	107
前年同期比 Change vs. 1-3Q FY2012	+944	-209	+716	-120	+97	-65	+191	-19
[以下影響を除く前年同期比] Change like-for-like vs. 1-3Q FY2012	-234	-186	-152	-90	-5	-63	-5	-29
為替換算の影響 Impact of FOREX	+1,002	-4	+735	-14	+90	+2	+165	+8
連結範囲変更の影響 Impact of Change in the Scope of Consolidation	+177	-19	+132	-16	+13	-5	+31	+2

* The sum of the segment amounts may not equal the amount of consolidated total, because it includes the amount of Other Segment and elimination.

Outlook for FY2013

FY2013 Forecast

億円(100million yen)

			FY2012	FY2013 見通し FY2013 Forecast	
			通期 Full-Year	通期 Full-Year	対前年比 vs. FY2012
売上高	Net sales	11,900	13,500	+1,600	+13.4%
営業利益	Operating income	929	700	-229	-24.7%
経常利益	Ordinary income	866	650	-216	-24.9%
当期純利益	Net income	438	100	-338	-77.2%
売上高営業利益率	Operating profit margin	7.8%	5.2%		
ROE* ¹	Return on equity	5.0%	1.1%		
為替レート(期中平均) FOREX Average	JPY/USD	79.82	100.0		*2
	JPY/EUR	102.63	130.0		*2
原油	Crude Oil	\$/BBL (Dubai)	109.1	110.0	*2
一株当たり配当金	Dividend (Yen/Share)	26	18		

* Return on equity = Net income / (Shareholders' equity (average) + Accumulated other comprehensive income (average))
ROE of FY2013 Forecast is calculated by using the Shareholders' equity, etc. as of Dec.31, 2012.

*2 Assumption for FY2013

Business Outlook for FY2013 (1)

[Glass]

● Architectural Glass

Japan/Asia : Shipments will remain strong.

Americas : Shipments will recover moderately.

Europe : Shipments will remain weak.

● Automotive Glass

Shipments will increase driven by strong demand in fast-growing markets.

● Glass for Solar Power Systems

Shipments will be bearish due to the continued tough competitive environment.

Business Outlook for FY2013 (2)

[Electronics]

- Shipments of TFT-LCD glass substrates and specialty glass for display applications are expected to be strong for full year.
- Shipments of electronic materials will be favorable and make a gradual recovery.

[Chemicals]

- Shipments of chlor-alkali products will remain strong.
- Shipments of fluorochemicals related products will likely recover.

Progress of Our Business Recovery Measures

Announcement on July 31

Structural reform of the architectural glass business in Europe

- Suspend the operation of a float furnace in France (on hot hold)
- Downsize back office sections by 15% (over 300 staff*) * From the 2012 level
Estimated cost reduction: 50m€/year
- Additional measures to be taken for further earnings improvement

Cut down on general, administrative and R&D expenses / Others

- Cut down on:
 - General & administrative expenses
 - R&D expensesAnnual Effect: 3 billion yen (by the end of 2013)
- Additional measures to be taken for further earnings improvement

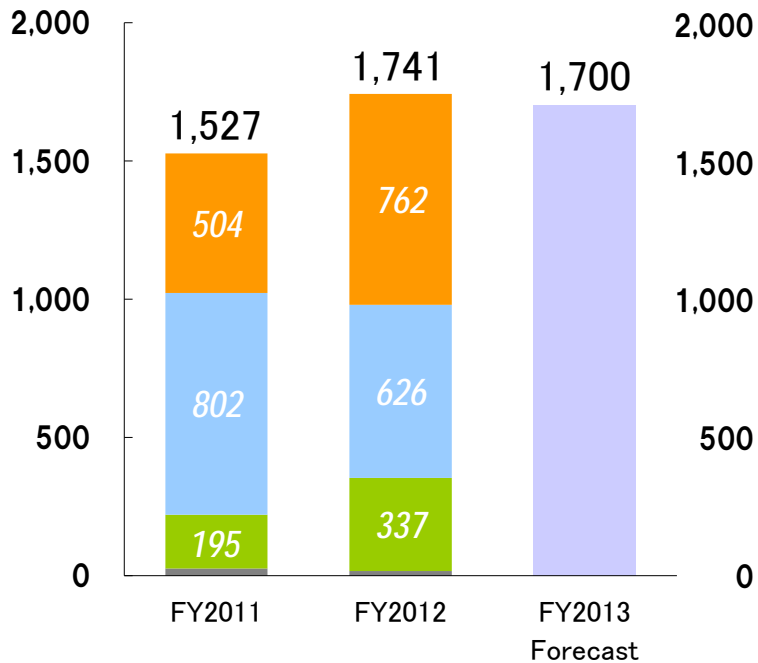
Current status

- Switch to Cold hold from Hot hold
- Running as planned
- To be decided
- Expected to achieve the target
- In China, stop production of PV cover glass and convert into an automotive glass plant

CAPEX / Depreciation / R&D

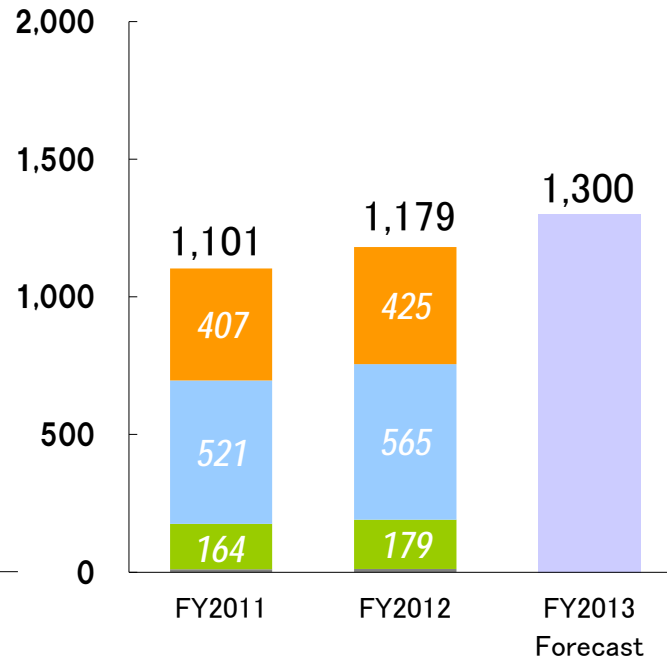
億円 (100million yen)

設備投資* CAPEX*

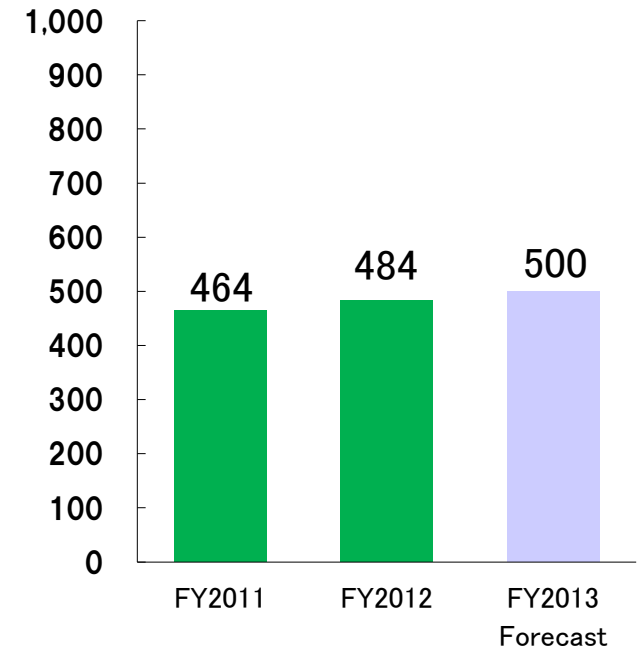


* Actual results include M&As.

減価償却費 Depreciation



研究開発費 R&D



■ ガラス
Glass

■ 電子
Electronics

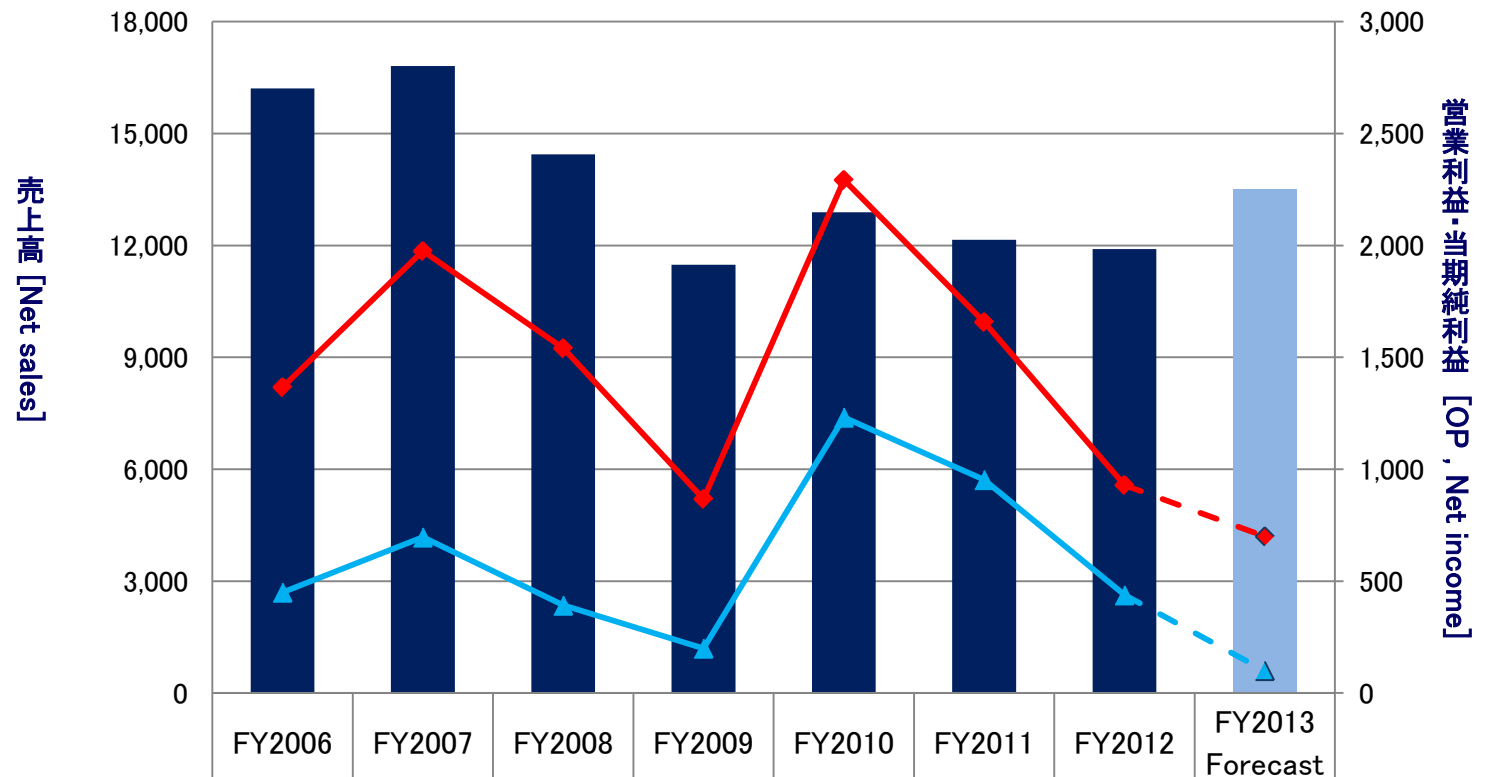
■ 化学品
Chemicals

■ セラミックス・その他
Ceramics/Other

Appendix

Changes in the Financial Results

億円(100million yen)



■ 売上高	Net sales	16,205	16,812	14,443	11,482	12,889	12,147	11,900	13,500
◆ 営業利益	Operating income	1,366	1,975	1,540	867	2,292	1,657	929	700
▲ 当期純利益	Net income	450	696	392	200	1,232	953	438	100
営業利益率	Operating income/Net sales	8.4%	11.7%	10.7%	7.5%	17.8%	13.6%	7.8%	5.2%
一株当たり配当金	Cash dividends per share (yen)	¥16	¥20	¥24	¥16	¥26	¥26	¥26	¥18

Financial Index

			06/12	07/12	08/12	09/12	10/12	11/12	12/12
自己資本当期純利益率(ROE) ^{*1}	Return on equity	%	5.1%	7.5%	4.7%	2.7%	15.8%	11.8%	5.0%
総資産営業利益率(ROA) ^{*2}	Return on assets	%	6.5%	9.3%	7.8%	4.8%	12.9%	9.6%	5.2%
自己資本比率	Equity ratio	%	42%	45%	40%	42%	46%	48%	50%
D/E(有利子負債・純資産比率)	Debt/Equity ratio	倍 times	0.58	0.52	0.77	0.74	0.60	0.57	0.54
営業CF/有利子負債	CF from Operating Activities/Interest-bearing debt	倍 times	0.30	0.46	0.34	0.30	0.56	0.31	0.31
一株当たり当期純利益(EPS)	Earnings per share	円 Yen	38.37	59.35	33.53	17.12	105.52	81.90	37.88
EBITDA ^{*3}	EBITDA	億円 100mil Yen	1,809	2,555	2,199	1,873	3,084	2,594	1,928

*1 Return on equity = Net income / (Shareholders' equity (average) + Accumulated other comprehensive income (average))

*2 Return on assets = Operating income / Total assets (average)

*3 Earnings before interest, tax, depreciation and amortization(EBITDA) = Net Income (Loss) Before Taxes + Depreciation + Interest expenses

為替レート Exchange rates			FY2012				FY2013			
			1Q	2Q	3Q	4Q	1Q	2Q	3Q	4Q
円/ドル JPY/USD	期中平均	Average	79.28	80.20	78.62	81.17	92.42	98.76	98.95	100.0 ^{*4}
	期末	At quarter end	82.19	79.31	77.60	86.58	94.05	98.59	97.75	
円/ユーロ JPY/EUR	期中平均	Average	103.99	102.91	98.36	105.25	122.04	128.95	131.05	130.0 ^{*4}
	期末	At quarter end	109.80	98.74	100.24	114.71	120.73	128.53	131.87	

*4 Assumption for FY2013

Major Press Releases in FY2013

Date	Summary
February 7	AGC to voluntarily adopt International Financial Reporting Standards (IFRS)
February 21	AGC to set up a new chemical strengthening facility for large-sized Dragontrail™ in Japan
March 11	AGC invests in Triton Microtechnologies that owns cutting-edge via-fill technology
April 23	AGC to build a mega-solar power station in Ako, Hyogo prefecture
May 9	AGC to establish regional headquarters in Singapore
May 14	AGC's new AN Wizus™ glass substrate for high resolution displays achieves world's lowest level of thermal shrinkage
May 20	AGC to market self-adhesive glass for optical bonding that produces more vivid and clear display devices
June 6	AGC to provide world's first glass roofs for player benches at FIFA Confederations Cup Brazil 2013
June 6	AGC's proven high performance Fluon®ETFE Film used in world class stadium in Brazil
August 6	AGC to significantly boost output of Caustic Soda and Vinyl Chloride in Indonesia
October 10	AGC to build its third Chinese automotive glass plant at production unit in Suzhou
October 18	AGC's Brazil plant starts glass production
October 22	AGC automotive window glass products earn the Skin Cancer Foundation's seal of recommendation

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